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PTO/SB/21 (6-98)
Approved for use through 09/30/2000. OMB 0651-0031
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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	Application Number	09/877,974	
TRANSMITTAL	Filing Date	June 7, 2001	
FORM	First Named Inventor	Devin Hosea	
(to be used for all correspondence after initial filing)	Group Art Unit	2163	
	Examiner Name	Not Yet Assigned	
Total Number of Pages in This Submission	Attorney Docket Number	109635.139	

ENCLOSURES (check all that apply)				
Fee Transmittal Form	Assignment Papers (for an Application)	After Allowance Communication to Group		
Fee Attached	Drawing(s)	Appeal Communication to Board of Appeals and Interferences		
Amendment / Response	Licensing-related Papers	Appeal Communication to Group (Appeal Notice, Bnef, Reply Brief)		
After Final	Petition Routing Slip (PTO/SB/69) and Accompanying Petition	Proprietary Information		
Affidavits/declaration(s)	Petition to Convert to a Provisional Application	Status Letter		
Extension of Time Request	Power of Attorney, Revocation Change of Correspondence Address	Additional Enclosure(s) (please identify below).		
Express Abandonment Request		✓ Postcard		
Information Disclosure Statemer	Small Entity Statement Request for Refund			
Certified Copy of Priority				
Document(s) Remarks				
Response to Missing Parts/				
Response to Missing				
Parts under 37 CFR 1.52 or 1.53				
SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT				
Firm or Individual name Joseph F. Haag, Reg. No. 42,612, Hale and Dorr LLP				
Signature $\int \mathcal{M} \mathcal{F}(\mathcal{F})$ Date $7-27-01$				
Date 7-27-01				
CERTIFICATE OF MAILING				

CERTIFICATE OF MAILING			
I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: \(\(\lambda \text{U} \tau \text{D} \rangle \text{AD} \rangle \text{DD} \)			
Typed or printed name	Patricia Corrigan		
Signature	totracia Carrica	Date	his 27,2001

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be send to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO. Assistant Commissioner for Patents, Washington, DC 20231.

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PTO/SB/17 (11-00)

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Complete if Known		
Application Number	09/877,974	
Filing Date	June 7, 2001	
First Named Inventor	Devin Hosea	
Examiner Name	TBA	
Group Art Unit	2163	
Attorney Docket No.	109635.139	

METHOD OF PAYMENT	FEE CALCULATION (continued)			
1. The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:				
Deposit	Large Small Entity Entity			
Account Number 08-0219	Entity Entity Fee Fee Fee Fee Fee Fee Description Fee	Paid		
Denosit	Code (\$) Code (\$)			
Account Name Hale and Dorr LLP	105 130 205 65 Surcharge - late filing fee or oath	<u>~</u>		
Charge Any Additional Fee Required Under 37 CFR 1 16 and 1 17	127 50 227 25 Surcharge - late provisional filing fee or cover sheet			
Applicant claims small entity status.	139 130 139 130 Non-English specification			
See 37 CFR 1.27	147 2,520 147 2,520 For filing a request for ex parte reexamination			
2. Payment Enclosed: Check Credit card Money Other	112 920* 112 920* Requesting publication of SIR prior to Examiner action			
FEE CALCULATION	113 1,840* 113 1,840* Requesting publication of SIR after Examiner action	[
1. BASIC FILING FEE	115 110 215 55 Extension for reply within first month			
Large Entity Small Entity	116 390 216 195 Extension for reply within second month			
Fee Fee Fee Fee Description Code (\$) Code (\$) Fee Paid	117 890 217 445 Extension for reply within third month			
101 710 201 355 Utility filing fee	118 1,390 218 695 Extension for reply within fourth month			
106 320 206 160 Design filing fee	128 1,890 228 945 Extension for reply within fifth month			
107 490 207 245 Plant filing fee	119 310 219 155 Notice of Appeal			
108 710 208 355 Reissue filing fee	120 310 220 155 Filing a brief in support of an appeal			
114 150 214 75 Provisional filing fee	121 270 221 135 Request for oral hearing			
CURTOTAL (4) (ft)	138 1,510 138 1,510 Petition to institute a public use proceeding			
	SUBTOTAL (1) (\$) 140 110 240 55 Petition to revive - unavoidable			
2. EXTRA CLAIM FEES Fee from	141 1,240 241 020 1 00001 to 10400 4			
Extra Claims below Fee Paid	142 1,240 242 620 Utility issue fee (or reissue)			
Total Claims20** = X =	143 440 243 220 Design issue fee			
Claims	144 600 244 300 Plant issue fee			
Multiple Dependent = =	122 130 122 130 Petitions to the Commissioner			
Large Entity Constl Entity	123 50 123 50 Processing fee under 37 CFR 1.17(q)			
Large Entity Small Entity Fee Fee Fee Fee Description	126 180 126 180 Submission of Information Disclosure Stmt			
Code (\$) Code (\$) 103 18 203 9 Claims in excess of 20	581 40 581 40 Recording each patent assignment per property (times number of properties)			
102 80 202 40 Independent claims in excess of 3	146 710 246 355 Filing a submission after final rejection			
104 270 204 135 Multiple dependent claim, if not paid	(37 CFR § 1.129(a))			
109 80 209 40 ** Reissue independent claims over original patent	149 710 249 355 For each additional invention to be examined (37 CFR § 1.129(b))			
110 18 210 9 ** Reissue claims in excess of 20	179 710 279 355 Request for Continued Examination (RCE)			
and over original patent	169 900 169 900 Request for expedited examination of a design application			
SUBTOTAL (2) (\$)	Other fee (specify) 10 soft copies of patent			
**or number previously paid, if greater; For Reissues, see above	**or number previously paid, if greater; For Reissues, see above *Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$) 130.00			

SUBMITTED BY		Complete (if applicable)			
Name (Print/Type)	Joseph F. Haag	Registration No. (Attorney/Agent)	42,612	Telephone	526-6000
Signature	my F. Huy			Date	7-27-01

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

Devin Hosea, et al.

Serial No.:

09/877,974

Examiner: Not Assigned

Filed:

June 7, 2001

Group Art Unit: 2163

For:

METHOD AND SYSTEM FOR PROFILING iTV USERS

AND FOR PROVIDING SELECTIVE CONTENT DELIVERY

Box Missing Parts Assistant Commissioner for Patents Washington, D.C. 20231

CERTIFICATE OF MAILING UNDER 37 C.F.R. 1.8(a)

I hereby certify that this correspondence and any enclosures referenced herein are being deposited with the United States Postal Service as First Class Mail in an envelope addressed to: Box Missing Parts, Assistant Commissioner for Patents, Washington D.C. 20231.

Data 47, 2001

Patricia Corrigan

RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION (FILING DATE GRANTED)

Sir:

In response to the Notice to File Missing Parts of Application (Filing Date Granted) mailed June 27, 2001, enclosed for filing in the above-identified patent application are the following documents:

- 1. Executed Declaration and Power of Attorney;
- 2. Copy of Application as Filed;
- 3. Nine (9) Sheets of Formal Drawings;
- 3. Part 2 Return Copy of Notice of Missing Parts of Application.

Enclosed is a self-addressed, postage prepaid postcard. Please date stamp the postcard indicating timely receipt by the Patent Office of the subject documents, and return it to us for our files.

The Commissioner is hereby authorized to charge \$130.00 surcharge for late filing of the Declaration to Deposit Account No. 08-0219 for the appropriate.



USSN 09/877,974 Attorney Docket No. 109635.139 Page Two

No additional fees are believed to be due. If however a fee is due or a credit owed, please charge any fee deficiency or credit any overpayment in connection with this matter to Deposit Account No. <u>08-0219</u>.

Respectfully submitted,

Dated: 7-27-01

seph F. Haag

Registration No. 42,612 Attorney for Applicant

Hale and Dorr LLP 60 State Street Boston, Massachusetts 02109 (617) 526-6000 (Telephone) (617) 526-5000 (Facsimile)

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United States Patent and Trademark Office

COMMISSIONER FOR PATENTS UNITED STATES PATENT AND TRADEMARK OFFICE Washington, D.C. 20231

APPLICATION NUMBER FILING/RECEIPT DATE OF FRED NAMED APPLICANT ATTORNEY DOCKET NUMBER

09/877,974

06/07/2001

Devint Hosea

109635-139

23483 HALE AND DORR, LLP 60 STATE STREET BOSTON, MA 02109 JUL 0 2 2001

CONFIRMATION NO. 2589
FORMALITIES LETTER
OC000000006233679

Date Mailed: 06/27/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.
 A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
 - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

08/07/2001 NROCHAI (\$1999924 PG371F UFFN 1574

01 FC:105 137.55 IE

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Initial Patent Examination Division (703) 308-1202 PART 2 - COPY TO BE RETURNED WITH RESPONSE